

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

60665B

Total Pages

First Named Inventor or Application Identifier

Daniel J. Falla, et al.

Express Mail Label No.

EV 331 476 420 US

Title: **GAS PERMEATION RESISTANT SYNTHETIC CORK CLOSURE**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner of Patents
Mail Stop Patent Application
P.O. Box 1450, Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 13]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☐ Drawing(s) (35 USC 113) [Total Sheets]
4. ☒ Declaration and Power of Attorney [Total Pages 4]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
[Note Box 5 below]
i. ☒ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference
The entire disclosure of the prior application identified in
Box 18 is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Associate Power of Attorney
15. ☐ Sealed envelope containing confidential information,
which Applicants may request to be expunged from
the application file.
16. ☒ Authorization for payment of fees and Petition for
Extensions of Time.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Amend the specification by inserting before the first line, the sentence: "This application is a ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/950,345/filed September 10, 2001." If this application claims the benefit of a provisional application, check this box ☒ This application claims the benefit of U.S. Provisional Application No. 60/232,019, filed September 12, 2000.

19. CORRESPONDENCE ADDRESS

☒ Customer Number 00109 or ☐ Correspondence address below

NAME

ADDRESS

CITY

STATE

ZIP CODE

Please direct any telephonic communication regarding this application to the undersigned Attorney/Agent for Applicants:

Carol J. Cavender
Carol J. Cavender

Reg. No.: 27,619

Phone No.: (979) 238-3581

Fax No.: (989) 636-3237

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney's Case No. : 60665B

Application of : Daniel J. Falla; Michael L. Mounts and Deborah E. Plaver

For: GAS PERMEATION RESISTANT SYNTHETIC CORK CLOSURE

No. of Drawing Sheets: 0

EXPRESS MAIL MAILING LABEL NO. EV 331 476 420 US DATE OF DEPOSIT: November 20, 2003
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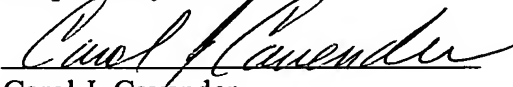
Sir:

Enclosed for filing is the above-identified application. Please charge the estimated fee to our Deposit Account No. 04-1512. An original and 2 copies of this sheet are enclosed.

A. Basic Filing Fee	\$770.00
Total Number of Claims	<u>13</u>
Less (Basic Fee)	<u>20</u>
B. Extra Claims	<u>0</u> x \$ 18.00 = \$0.00
Total Number Independent Claims	<u>1</u>
Less (Basic Fee)	<u>3</u>
C. Extra Independent Claims	<u>0</u> x \$ 86.00 = \$0.00
D. Multiple Dependent Claims Presented	+ \$290.00 = \$0.00
TOTAL FILING FEE (A+B+C+D) =	\$770.00

If this estimate is incorrect, please charge or credit our account accordingly.

Respectfully submitted,


Carol J. Cayender
Registration No.: 27,619
Phone: (979) 238-3581

THE DOW CHEMICAL COMPANY
Intellectual Property
P.O. Box 1967
Midland, MI 48641-1967

Date: Nov. 19, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): Daniel J. Falla; Michael L. Mounts and Deborah E. Plaver

Attorney Docket No.: 60665B

Group Art Unit: Unknown

Filed: Concurrently Herewith

Examiner: Unknown

For: GAS PERMEATION RESISTANT SYNTHETIC CORK CLOSURE

EXPRESS MAIL MAILING LABEL NO. EV 331 476 420 US DATE OF DEPOSIT: November 20, 2003
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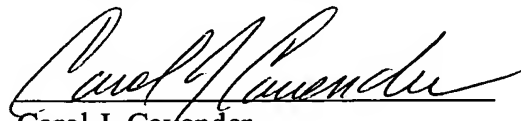
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

AUTHORIZATION FOR PAYMENT OF FEES
AND PETITIONS FOR EXTENSIONS OF TIME

Pursuant to 37 CFR 1.136(a)(3), please treat any concurrent or future reply in this application which requires a petition for an extension of time under 37 CFR 1.136(a)(1) as incorporating a petition for an extension of time for the appropriate length of time. Please charge any fees required under 37 CFR 1.17 in this application to Deposit Account No. 04-1512.

Respectfully submitted,



Carol J. Cayender
Registration No.: 27,619
Phone: (979) 238-3581

Date: 11-19-2003

P. O. Box 1967
Midland, MI 48641-1967

09/950,345

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): Daniel J. Falla, et al.

Serial No.: 09/950,345

Group Art Unit: 1773

Filed: September 10, 2001

Examiner: Donald Tarazano

For: GAS PERMEATION RESISTANT SYNTHETIC CORK CLOSURE

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS
FIRST CLASS MAIL WITH SUFFICIENT POSTAGE IN AN ENVELOPE
ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, DC 20231, ON:

April 25, 2003

DATE OF DEPOSIT

Melissa Van Meter

PRINT OR TYPE NAME OF PERSON SIGNING CERTIFICATE

Melissa Van Meter

SIGNATURE OF PERSON SIGNING CERTIFICATE

April 25, 2003

DATE OF SIGNATURE

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

REQUEST PURSUANT TO 37 C.F.R. §1.48(b)

Pursuant to 37 C.F.R. §1.48(b), the Applicants request to have the inventorship of the above-identified Application amended. The following statement supports the Request:

- (a) The above-identified Application was filed listing Daniel J. Falla, Michael L. Mounts, Deborah E. Plaver, and Stuart I. Yaniger as inventors.
- (b) In the course of prosecution, the Claims have been amended such that the subject matter invented by Stuart I. Yaniger is no longer claimed in the Application.
- (c) The name(s) of Stuart I. Yaniger should be stricken from the list of inventors in this application.

The Applicants request to amend inventorship by deleting Stuart I. Yaniger as inventor(s) in the Application. The amended Application should list

09/950,345

C O P Y

Daniel J. Falla, Michael L. Mounts, and Deborah E. Plaver as the inventor(s) of the claimed invention.

Please charge the fee of \$130.00 to our Account No. 04-1512. If this amount is incorrect, please charge or credit our account accordingly.

Respectfully submitted,



Carol J. Cavender

Registration No. 27,619

Phone: 979-238-3581

Date: April 17, 2003

P. O. Box 1967
Midland, MI 48641-1967

CJC/mlv